

NICE Trading Recording

Ready for Global Regulatory Compliance Requirements



NICE Trading Recording meets regulatory requirements worldwide, helps to rapidly resolve disputes and clarify transactions, all by providing faster access to recorded calls.

REGULATORY REQUIREMENTS

Today's strict regulatory environment has profound effects on the systems and processes that power the trading floor. Changes in legislative and technical environments and the recent economic downturn are forcing financial institutions to develop new strategies to improve operations, reduce costs and support business and service enhancements. In addition, with today's focus on the security and retention of information assets, many legacy recording systems cannot comply with modern security needs.

COMPLIANCE RECORDING

Until recently, storing a recorded call on removable media and having a process to manage that media was sufficient for most compliance recording applications. In fact, that is the standard by which most systems currently in production are designed. However, due to the introduction of regulations around customer privacy, and the need to ensure recordings are available for litigation purposes, legacy systems may no longer meet compliance standards.

With NICE Trading Recording, regulatory compliance is built in, thanks to an automated authorization process that documents all access to call recordings and delivers instant call retrieval and replay to the workstation. The system is accessed through a standard Web browser, with all activity fully documented for a complete audit trail of every event, including the time of playback.

Manage Risks, Optimize Costs

■ REDUCED COSTS OF OWNERSHIP

Using commercially available hardware enables your firm to take advantage of existing equipment and production processes.

■ MAXIMIZED REDUNDANCY AND RESILIENCE

For maximum resilience, all calls can be forwarded to a disaster recovery site or central data repository, providing complete data redundancy.

SUPERIOR SECURITY TECHNOLOGY

Fail-safe data storage is a key regulatory requirement. NICE Trading Recording provides the highest level of resilience options. The system also uses 256-bit Rijndael AES audio encryption, coupled with MD5 fingerprinting, to secure all audio files against unauthorized alteration or editing.

To eliminate hardware and software integration issues and meet the strictest IT security policies, NICE Trading Recording features open architecture and is designed for standard off-the-shelf hardware. Call data can be archived to any network storage device and accessed via the browser-based GUI. The system administrator has full control over access to all recorded calls.

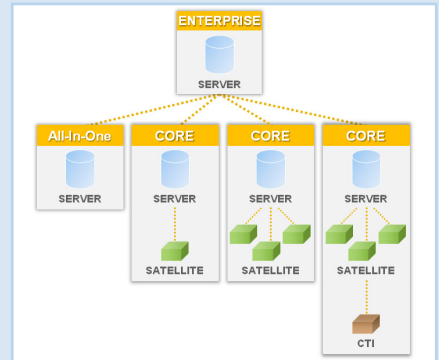
LEADING TECHNOLOGY

NICE software enables financial services firms to adhere to the UK's Financial Services Authority (FSA) requirement for recording and storing mobile calls. Its proven solution models are designed in collaboration with many industry experts.

LOCAL OR CENTRALIZED

NICE Trading Recording offers the possibility to link multiple local or branch recording systems for an enterprise-wide recording platform with centralized user administration and call playback. This capability offers central user management with flexibility to organize users in Departments, Groups and Roles.

A centralized Search & Replay function relays a search request to all underlying servers, with results sent back to the central system. Calls can be directly replayed from search results, retrieved directly from the underlying server. Thus, local regulations may be set on local systems while centralized management remains in force.

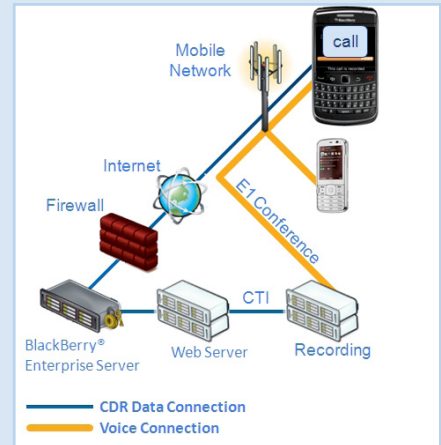


Flexible Servers & Satellites Architecture

MOBILE PHONE RECORDING

NICE Trading Recording exceeds requirements in many markets through its ability to optionally record and store calls from mobile devices, without the need to input prefixes or route calls via expensive landlines.

In addition, when loaded onto traders' mobile phones, NICE software and firmware enables all inbound and outbound calls to be routed over the recording platform, located within the highly secure IT network infrastructures used by financial organizations.



Optional Recording of Mobile Phones

ABOUT NICE

NICE Systems (NASDAQ: NICE), is the worldwide leader of intent-based solutions that capture and analyze interactions and transactions, realize intent, and extract and leverage insights to deliver impact in real time.

Driven by cross-channel and multi-sensor analytics, NICE solutions enable organizations to improve business performance, increase operational efficiency, prevent financial crime, ensure compliance, and enhance safety and security.

NICE serves over 25,000 organizations in the enterprise and security sectors, representing a variety of sizes and industries in more than 150 countries, and including over 80 of the Fortune 100 companies. www.nice.com.

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